

PTO/SB/21 (01-03)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: CHUNG

Serial No.: 10/608,673

Filing Date: June 27, 2003

For: METHOD AND APPARATUS FOR
REFERENCE DATA SCRUBBING

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)
) Examiner: Not yet assigned
)
) Group Art Unit: Not yet assigned
)
) Attorney Docket No.: G08.027/U
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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicant submits herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

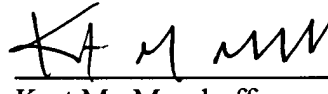
This Information Disclosure Statement is filed in accordance with 37 CFR §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

No fee is believed to be due under 37 CFR §1.17(p) for this Information Disclosure Statement since it is being filed within three months of the filing of the above-identified application.

Respectfully submitted,

September 29, 2003

Date

A handwritten signature in black ink, appearing to read 'K M Maschoff', written over a horizontal line.

Kurt M. Maschoff

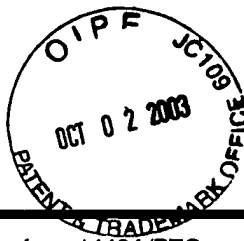
Registration No. 38,235

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

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Complete if Known

Application Number	10/608,673
Filing Date	June 27, 2003
First Named Inventor	CHUNG
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	G08.027/U

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	A	Allen & Overy, Memorandum for ISDA Members: "Definition of "Fully Transferable Obligation" in the ISDA Restructuring Supplement", 27 November, 2001. 4pgs.	
	B	Allen & Overy, "An Introduction to the Documentation of OTC Derivatives: "Ten Themes"", May 2002. 7pgs.	
	C	"Sample form of Credit Derivative Confirmation", 10pgs.	
	D	Skora, Richard K., Article: "The Credit Default Swap", Skora & Company, Inc., RISK Publications, 1998. 8pgs.	
	E	"Restructuring Supplement to the 1999 ISDA Credit Derivatives Definitions", 4pgs.	
	F	"Commentary on Restructuring Supplement", 5pgs.	
	G	Insurance Journal, "Swiss Re Sues XL Over Armstrong Credit Swap", May 23, 2001, download from http://www.insurancejournal.com/news/newswire/international/2001/05/23/12939.html/print on 9/25/2003. 1pg.	
	I	Allen & Overy, "An Introduction to the Documentation of OTC Derivatives", May 2002. 12pgs.	
	J	ISDA, "Background Information - OTC Derivatives Documentation: Improving Counterparty Risk Management Practices", June 1999, 66pgs.	

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.